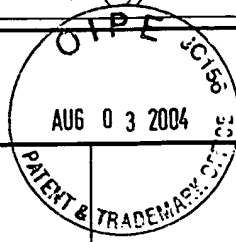


IFW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
26/1223US

In Re Application Of: Mittleman, et al.



Serial No.  
10/796,853

Filing Date  
03/08/04

Examiner  
TBD

Group Art Unit  
TBD

Title: Rotatable Handle for Towable Luggage (Triax Handle)

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

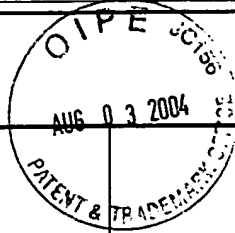
OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
26/1223US

In Re Application: Mittleman, et al.



Serial No.  
10/796,853

Filing Date  
03/08/04

Examiner  
TBD

Group Art Unit  
TBD

Rotatable Handle for Towable Luggage (Triax Handle)

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

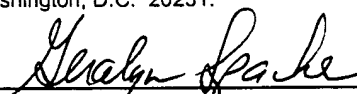
\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

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Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited July 29, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Geralyn Spacher

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
\_\_\_\_\_  
Signature

Dated: July 29, 2004

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Mittleman, et al.

Docket No.

26/1223US

Serial No.

10/796,853

Filing Date

03/08/04

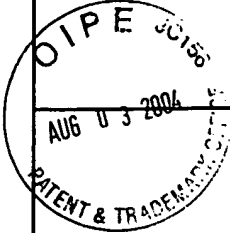
Examiner

TBD

Group Art Unit

TBD

Invention: Rotatable Handle for Towable Luggage (Triax Handle)

I hereby certify that this Initial Citation Disclosure, Transmittal of IDS and Ack. Postcard

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on July 29, 2004

(Date)

Geralyn Spacher

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in dark ink that reads "Geralyn Spacher". The signature is written in a cursive style with a large, stylized "G" and "S".

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>				ATTY DOCKET NO. <b>26/1223US</b>		SERIAL NO. <b>10/796,853</b>		
				Mittleman, et al.				
				FILING <b>03/08/04</b>		GROUP <b>TBD</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A	387,198	12/09/97	Lehmann, et al.				
	B	395,361	06/23/98	Zoints, et al.				
	C	1,616,632	02/08/27	Mastrantonio				
	D	2,722,137	11/01/55	Russell				
	E	3,401,951	09/17/68	Bloom				
	F	3,496,795	02/24/70	Dinnendahl				
	G	3,606,372	09/17/68	Browning				
	H	3,799,568	03/26/74	Hager				
	I	4,368,835	01/18/83	Murphy				
	J	4,538,709	09/03/85	Williams				
	K	4,616,379	10/14/86	Lio				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	L	090221299	12/05/01	Taiwan				
	M	WO 98/07344	02/26/98	PCT				
	N	WO 01/52687	07/26/01	PCT				
	O	WO 03/053186	07/03/03	PCT				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 26/1223US		SERIAL NO. 10/796,853	
				Mittleman, et al.			
				FILING 03/08/04		GROUP TBA	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	P	4,621,404	11/1986	Browing			
	Q	4,838,396	06/13/89	Krenzel			
	R	5,002,304	03/26/91	Carrigan, Jr.			
	S	5,048,649	09/17/91	Carpenter, et al.			
	T	5,197,579	03/30/93	Bieber, et al.			
	U	5,207,440	05/04/93	Liang			
	V	5,323,887	06/28/94	Scicluna, et al.			
	W	5,328,122	07/12/94	Yamaguchi			
	X	5,330,037	07/19/94	Wang			
	Y	5,339,934	08/23/94	Liang			
	Z	5,353,900	10/11/94	Stilley			

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED
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				Mittleman, et al.			
				FILING 03/08/04		GROUP TBA	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,429,317	07/04/95	Yamaguchi			
	BB	5,431,428	07/11/95	Marchwiak, et al.			
	CC	5,464,080	11/07/95	Liang			
	DD	5,464,081	11/07/95	Zwanzig			
	EE	5,469,945	11/28/95	Jserng			
	FF	5,491,872	02/20/96	Tserng			
	GG	5,497,865	03/12/96	Yun-Pi			
	HH	5,547,053	08/20/96	Liang			
	II	5,564,538	10/15/96	Sadow			
	JJ	5,615,757	04/01/97	Chen			
	KK	5,630,521	05/20/97	Waddell, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES      NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
EXAMINER				DATE CONSIDERED			

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	Mittleman, et al.	
	FILING 03/08/04	GROUP TBA

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	LL	5,647,095	07/15/97	Takimonto			
	MM	5,689,854	11/25/97	Wang			
	NN	5,690,196	11/25/97	Wang			
	OO	5,706,921	01/13/98	Wang			
	PP	5,713,441	02/03/98	Chen			
	QQ	5,722,118	03/03/98	Hansen, et al.			
	RR	5,749,503	05/12/98	Wulf, et al.			
	SS	5,752,415	05/19/98	Tsai			
	TT	5,769,194	06/23/98	Chang			
	UU	5,813,504	09/29/98	Iny, et al.			
	VV	5,855,408	01/05/99	Rickabus			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER	DATE CONSIDERED
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				Mittleman, et al.			
				FILING 03/08/04		GROUP TBA	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	WV	5,865,281	02/02/99	Wang			
	XX	5,884,362	03/23/99	Tsai			
	YY	5,890,570	04/06/99	Sadow			
	ZZ	5,893,495	04/13/99	Godshaw, et al			
	AAA	5,901,822	05/11/99	Tu			
	BBB	5,908,093	06/01/99	Miyoshi			
	CCC	5,934,425	08/10/99	Sadow			
	DDD	5,943,936	08/31/99	Deliman, et al.			
	EEE	5,984,154	11/16/99	Scicluna			
	FFF	5,996,177	12/07/99	Cheng			
	GGG	6,009,598	01/04/00	Chang			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>26/1223US</b>		Application Number <b>10/796,853</b>		
				Applicant(s) <b>Mittleman, et al.</b>				
				Filing Date <b>03/08/04</b>		Group Art Unit <b>TBA</b>		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	HHH	6,032,771	03/07/00	Pedrini				
	III	6,041,900	03/28/00	Sadow, et al.				
	JJJ	6,059,301	05/09/00	Skarnulis				
	KKK	6,179,101	01/30/01	Lin				
	LLL	6,179,176	01/30/01	Saggese, et al.				
	MMM	6,193,033	02/27/01	Bogert				
	NNN	6,237,734	05/29/01	Chen				
	OOO	6,279,706	08/28/01	Mao				
	PPP	6,298,964	10/09/01	Sadow				
	QQQ	6,301,746	10/16/01	Myers, et al.				
	RRR	6,317,924	11/20/01	Gallager				
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>26/1223US</b>		Application Number <b>10/796,853</b>	
				Applicant(s) <b>Mittleman, et al.</b>			
				Filing Date <b>03/08/04</b>		Group Art Unit <b>TBA</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	SSS	6,332,242	12/25/01	Chen			
	TTT	6,434,790	08/20/02	Chen			
	UUU	6,464,245	10/15/02	Miles			
	VVV	6,508,344	01/2003	Lu			
	WWW	6,530,459	03/2003	Lu			
	XXX	2003/0085089	05/08/03	Lin, et al.			
	YYY	2003/0079950	05/01/03	Lin, et al.			
	ZZZ	2002/0050429	05/02/02	Nykoluk, et al.			
	AAAA	2003/0000785	01/02/03	Miller, et al.			
	BBBB	2003/0132079	07/17/03	Bellini			
	CCCC	D463,124 S	09/24/02	Godshaw, et al.			

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		

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				Mittleman, et al.			
				FILING 03/08/04		GROUP TBD	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	dddd	5,249,438	10/05/93	Rhaney, et al.			
	eeee	6,564,426	05/20/03	Wang			
	ffff	2003/0102195	06/05/03	Mittleman, et al.			
	gggg	6,575,274	06/10/03	Huang			
	hhhh	6,591,951					
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>							
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